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PAGE 01/07

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INTELLECTUAL PROPERTY LAW

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Fax

DATE: January 31, 2007

TO	Box / Fax No.	RE:
US Patent and Trademark Office	571-273-8300	Serial No. 10/577,540 Filing Date: Nov 14, 2003 Inventor: Anthony, et al.
FROM	Daniel J. Chalker dchalker@chalkerflores.com	
DIRECT LINE	214-866-0001	
DIRECT FAX	214-866-0010	
CLIENT/MATTER NO.	SHAP:1001	
TOTAL PAGES (including cover)	7	
Customer No.	34,725	

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Comments

Attached for filing please find:

- | | |
|--|----------|
| 1. PTO Transmittal Form | - 1 pg. |
| 2. PTO Fee Transmittal | - 1 pg. |
| 3. PTO Form 2038 | - 1 pg. |
| 4. Response to Notice of Insufficient Fees | - 1 pg. |
| 5. PTO Notice of Insufficient Fees | - 2 pgs. |

Thank you,

Daniel J. Chalker, Reg. No. 40,552

*Re-sent - PTO fax confirmation
 listed only 3 pages.*

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2711 LBJ Freeway, Suite 1036

Tel: 214.866.0001

PAGE 1/1 * RCVD AT 1/31/2007 5:00:04 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-3/20 * DNI:2738300 * CSID:2148660010 * DURATION (mm:ss):02:16:10

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0661-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FORM**

(to be used for all correspondence after initial filing)

Application Number 10/577,540

Filing Date Nov 14, 2003

First Named Inventor Anthony, et al.

Art Unit 1754

Examiner Name

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Total Number of Pages in This Submission

7

Attorney Docket Number SHAP:1001

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Reply to Missing Parts/
Incomplete Application
 Reply to Missing Parts under
37 CFR 1.52 or 1.53

- Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation
 Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____
 Landscape Table on CD

- After Allowance communication to (TC)
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):

Fax Transmittal; Response to Notice of Insufficient Fees; Copy of PTO Notice of Insufficient Fees; PTO Form 2038

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Chalker Flores LLP		
Signature			
Printed name	Daniel J. Chalker		
Date	January 31, 2007	Reg. No.	40,552

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Daniel J. Chalker		Date January 31, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (01-06)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

130.00

Complete if Known

Application Number	10/577,540
Filing Date	Nov 14, 2003
First Named Inventor	Anthony, et al.
Examiner Name	
Art Unit	1754
Attorney Docket No.	SHAP:1001

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METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments

under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues) Fee (\$): 50 Small Entity Fee (\$): 25

Each independent claim over 3 (including Reissues) Fee (\$): 200 Small Entity Fee (\$): 100

Multiple dependent claims Fee (\$): 360 Small Entity Fee (\$): 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x 25.00	=					

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)				
- 3 or HP =	x 100.00	=					

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Oath/Declaration Surcharge for large entity

Fee Paid (\$)

130.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 40,552	Telephone 214-868-0001
Name (Print/Type)	Daniel J. Chalker	Date 01/31/2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 80 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No. : 10/577,540 Confirmation No. 9837
Applicant : Anthony, et al.
Filed : November 14, 2003
TC/A.U. : 1754
Examiner :
Docket No. : SHAP:1001
Customer No. : 34,725

JAN 31 2007

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This is in response to a Notice of Insufficient Fees dated January 17, 2007 in the above-referenced matter. Enclosed please find a copy of the Notice of Insufficient Fees and PTO Form 2038 for \$130.00 to complete the filing requirements of the above-identified application.

If the Examiner has any questions or comments, or if further clarification is required, it is requested that the Examiner contact the undersigned at the telephone number listed below as soon as possible.

Dated: January 31, 2007

Respectfully submitted,



Daniel J. Chalker
ATTORNEY FOR APPLICANTS
Registration No.: 40,552

Chalker Flores, LLP
2711 LBJ Freeway, Suite 1036
Dallas, TX 75234
214.866.0001 Telephone
214.866.0010 Facsimile

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/577,540	Edward J. Anthony	SHAP:1001
		INTERNATIONAL APPLICATION NO.
		PCT/CA03/01760
		I.A. FILING DATE
		11/14/2003
		PRIORITY DATE
CONFIRMATION NO. 9837		
371 FORMALITIES LETTER		
 OC000000022014775		

Date Mailed: 01/17/2007

Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/28/2006
- Copy of the International Search Report filed on 04/28/2006
- Information Disclosure Statements filed on 04/28/2006
- Oath or Declaration filed on 09/25/2006
- U.S. Basic National Fees filed on 04/28/2006

02/02/2007 MKAYPAGH 00000085 10577540

01 FC:1617 130.00 OP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/577,540	PCT/CA03/01760	SHAP:1001

FORM PCT/DO/EO/923 (371 Formalities Notice)